

UPDATED REGISTRATION STATEMENT

EXECUTIVE AGENCY LOBBYIST/ EMPLOYER

"COMBINED"

When a lobbyist has only one employer and that employer has only one lobbyist, with the lobbyist listed as the "employer contact" on the Initial Registration Statement, the lobbyist and employer may alternately file an *Updated Registration Statement Lobbyist/Employer "COMBINED"* in lieu of filing two separate updated registration statements. The "COMBINED" form may only be used if neither the lobbyist nor the employer have expenses or financial transactions to report. The employer is required to pay the \$500 registration fee even when qualifying to complete the "COMBINED" form.

Only the lobbyists and employers who meet all of the following requirements may complete the "COMBINED" form:

1. There is only **one** executive agency lobbyist registered to represent the employer.
2. There is only **one** employer registered for the same lobbyist.
3. The lobbyist that is registered is also listed as the employer contact for the employer.
4. Both the employer and the lobbyist have no expenditures or financial transactions to report.

The "COMBINED" form is password protected. The lobbyist/employer will be provided the password only if meeting the requirements listed above.

INSTRUCTIONS

A. General Information

Items 1 & 2: Provide your full name; your executive agency lobbyist number; and the name of your employer. Update any changes in the lobbyist's/employer's name, mailing address, and other contact information.

Item 3: Indicate whether this is a regular, final, or an amended statement. An amended statement should be filed if a correction needs to be made after the reporting deadline. If an amended statement is being filed, indicate which reporting year the statement is amending, and complete only those sections which are being changed by the amended filing.

Item 4: If you have terminated your registration with the employer listed on the form, check "No" and indicate the date terminated.

B. Executive Agency Decisions

Item 1: List the specific executive agency decisions which you attempted to influence, on behalf of the employer during the reporting period and the specific agencies contacted. Examples of an executive agency decision to be listed: "contract for purchase of (commodity) by Kentucky Department of

Corrections;" "Met with officials of the Transportation Cabinet to discuss budget items for the State Road Fund."

Item 2: Since your *Initial Registration Statement* or most recent Updated Registration Statement, describe any additional types of executive agency decisions which relate to your engagement. For example, if your initial registration stated that you will be attempting to influence executive agency decisions related to "Contracts for Data Processing Services", and you will also be attempting to influence "Contracts for Purchase of Computer Hardware", report the latter.

Item 3 Add or delete from the list of executive branch agencies originally provided on the *Initial Registration Statement* or last Updated Registration Statement.

C. Registration Fee

Each employer of one or more executive agency lobbyists is required to pay a registration fee of \$500.00¹ with the filing of the *Updated Registration Statement, COMBINED* whether the employer is submitting a regular or final report. KRS 11A. 211(5). Payment may be made by credit card, check, or money order payable to the "**KENTUCKY STATE TREASURER**". For accuracy in recording, it is preferred that payment accompany the filing of the updated registration statement. Failure to submit the \$500 registration fee will constitute a deficiency in the filing of an updated registration statement and will subject the employer to penalties outlined in KRS 11A.990(5)

Certification:

This section requires the signature of the executive agency lobbyist and the date on which the statement was signed. The signing of the statement attests to the completeness and accuracy of the combined statement for both the executive agency lobbyist and the respective employer. The signed statement may be submitted in original form via hand delivery, regular mail, delivery service, electronically by facsimile/electronic mail or through an online system established by the Commission.

Completed statements and registration fees are due **ON OR BEFORE** July 31.

Do not leave any section or question blank. If the question does not apply, check the box "If None, Check Here"

If you have questions, contact:

Executive Branch Ethics Commission
#3 Fountain Place
Frankfort, Kentucky 40601
(502) 564-7954
FAX (502) 564-2686

¹ House Bill 80, as passed by the 2016 General Assembly and signed by Governor Matt Bevin on April 27, 2016, raised the Executive Agency Lobbyist registration fee provided in KRS 11A.211 from \$125 to \$500 beginning July 1, 2016, through June 30, 2018.